

September 4, 2001

Commissioner for Patents
BOX MISSING PARTS
Washington, DC 20231

Re: Applicants: JONES et al.
Title: **ADENOSINE CYCLIC KETALS: NOVEL
ADENOSINE ANALOGUES FOR PHARMACOTHERAPY**
Serial No.: 09/828,276
Filed: April 6, 2001
Art Unit: 1623
Examiner: (not yet assigned)
Docket No.: 00-721-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Response to Notice to File Missing Parts - Filing Date Granted;
2. Two executed, counterpart Combined Declaration and Power of Attorney documents;
3. A check in amount of \$755.00 representing the filing fee, extra claims fees, extension fee and surcharge;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

MAILED

☒ Deposited with the United States Postal Service on
September 4, 2001, with sufficient postage as first class mail
in an envelope addressed to the: Commissioner for Patents,
BOX MISSING PARTS, Washington, D.C. 20231.

Jody L. Burtner

FACSIMILE

☐ transmitted by facsimile on [date] to the U.S. Patent and
Trademark Office.

Type Signature Name

(Signature of person mailing paper or fee)

(Signature of person mailing paper or fee)

435 Sixth Avenue
Pittsburgh, PA 15219-1886
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Delaware
New Jersey
New York
Pennsylvania
Virginia
Washington, DC.

reedsmith.com

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September 4, 2001

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ReedSmith^{LLP}

4. An executed Verified Statement (Declaration) Claiming Small Entity Status - Nonprofit Organization;
5. A copy of the Notice to File Missing Parts;
6. A Petition and Fee for Extension of Time;
7. Two executed, counterpart, Assignments and a completed Assignment Recordation Cover Sheet - Patents Only;
8. A second check for \$40.00 representing the Assignment recordation fee; and
9. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Director is authorized to charge any additional payment due in connection with this submission, or to credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

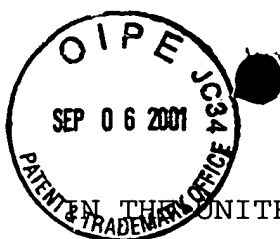
REED SMITH LLP

By



Robert D. Kucler

cc: Frederick H. Colen, Esq. (w/o encl.)
Dr. James G. Dilmore (w/o encl.)



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JONES et al.

Serial No.: 09/828,276

Filed: April 6, 2001

Art Unit: 1623

Examiner: (not yet assigned)

Atty. Docket No.: 00-721-US

ADENOSINE CYCLIC KETALS:
NOVEL ADENOSINE ANALOGUES FOR
PHARMACOTHERAPY

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the Notice To File Missing Parts Of
Application - Filing Date Granted mailed on June 4, 2001, a copy
of which is enclosed, Applicants hereby submit the following:

1. ☒ Two, counterpart, original, executed Combined
Declaration And Power Of Attorney documents.
2. ☒ Two, counterpart, Assignments of the application to the
- University of Pittsburgh and a completed Assignment
Recordation Form Cover Sheet.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

MAILED

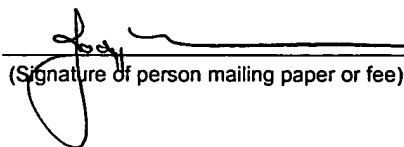
☒ deposited with the United States Postal Service on
September 4, 2001, with sufficient postage as first-class mail in
an envelope addressed to Box Missing Parts, Commissioner for
Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile on [date] to the U.S. Patent and
Trademark Office.

Jody L. Burtner

Type Signature Name


(Signature of person mailing paper or fee)

(Signature of person mailing paper or fee)

3. ☒ A Verified Statements claiming small entity status
☒ is attached.
☐ has been filed.
☐ A separate refund request accompanies this paper.

4. ☒ The filing fee is calculated below.

FOR	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OTHER THAN</u>		
	<u>NO. ON FILE</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>A SMALL ENTITY</u>	<u>FEE</u>
Basic Fee	////////	////////	////	\$355	<u>OR</u>	////	\$710
Total	20-20	0	x \$ 9	= \$	<u>OR</u> X	\$ 18	= \$
Claims							
Ind. Claims	10-3	7	x \$ 40	= \$280	<u>OR</u> X	\$ 80	= \$
<input type="checkbox"/> Multiple Claims	Dependent Presented		x \$135	= \$	<u>OR</u> +	\$270	= \$0
<u>TOTAL</u>				= \$635	<u>OR</u>	<u>TOTAL</u>	= \$

5. The surcharge for late payment of the filing fee and/or the late filing of an original Declaration is:

☒ \$65.00 (small entity) ☐ \$130.00 (large entity)

6. Fee Payment Being Made:

☒ Enclosed

<input checked="" type="checkbox"/> Filing fee	\$635.00
<input checked="" type="checkbox"/> Surcharge	\$ 65.00
<input checked="" type="checkbox"/> Extension fee (Petition enclosed)	\$ 55.00
<input checked="" type="checkbox"/> Recording Assignment	\$ 40.00
Total fees enclosed	\$795.00

7. ☒ A check in the amount of \$755.00 to cover the filing fee including surcharge and extension fee for a small entity is enclosed.
8. ☒ A check in the amount of \$40.00 to cover the assignment recordation fee is enclosed.

9. ☐ The Director is authorized to charge the \$[Amount] filing fee, the \$[Amount] surcharge and the \$[Amount] assignment recordation fee to Deposit Account No. 18-0582.
10. ☒ The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this paper is attached.

Respectfully submitted,

Dated: September 4, 2001



Robert D. Kuçler
Reg. No. 45,908

REED SMITH LLP
P.O. Box 488
Pittsburgh, PA 15230-0488
(412) 288-4598

Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,276	04/05/2001	Jones	00-721-US

CONFIRMATION NO. 6338

FORMALITIES LETTER



OC000000006145167

Frederick H. Colen
Reed Smith LLP
P.O. Box 488
Pittsburgh, PA 15230-0488

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

Adjustment date: 12/04/2001 SSANDARA
09/07/2001 HNDOR1 00000071 09828276
04 FC:299 -280.00 UP

*A copy of this notice **MUST** be returned with the reply.*

Repln. Ref: 12/04/2001 SSANDARA 0009212700
DAH:180582 Name/Number:09828276
FC: 704 \$280.00 CR

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/07/2001 HNDOR1 00000071 09828276

02 FC:201 355.00 OP
03 FC:205 65.00 OP
04 FC:299 280.00 OP